## IAP17 Rec'd PCT/PTOTES DEC 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No.

U.S. Filing of International Application PCT/EP2004/006466

Applicants

SCHILLING, Andreas; TOMPKIN, Wayne Robert; STAUB,

René

Confirm. No.

Unassigned

Filed

Herewith

Title

OPTICAL SAFETY ELEMENT AND SYSTEM FOR

VISUALIZING HIDDEN INFORMATION

TC/A.U.

N/A

Examiner

N/A

Docket No.

1093-145 PCT/US

Dated

December 23, 2005

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Date: 12/23/05 Label No. EV 000904709 US

I hereby certify that on the date indicated above, I
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Tricia Tucci

## INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

## **UNITED STATES PATENTS**

| <b>PATENTEE</b>  |   | PATENT NO.    | PATENT DATE       |  |  |  |  |  |
|------------------|---|---------------|-------------------|--|--|--|--|--|
| Huang            |   | 5,999,280*    | December 7, 1999  |  |  |  |  |  |
| Taylor, et al.   | 1 | 6,062,604*    | May 16, 2000      |  |  |  |  |  |
| Staub, et al.    |   | 6,324,004 B1* | November 27, 2001 |  |  |  |  |  |
| Dovgodko, et al. |   | 6,351,537 B1* | February 26, 2002 |  |  |  |  |  |

# IAP17 Rec'd PCT/PTO 23 DEC 2005 FOREIGN PATENT DOCUMENTS

| COUNTRY | PUBLICATION NO.   | PUBLICATION DATE  |
|---------|-------------------|-------------------|
| Germany | DE 100 44 465 A1* | March 21, 2002    |
| WIPO    | WO 02/100653 A1*  | December 19, 2002 |
| Europe  | EP 0 520 363 A1*  | December 30, 1992 |
| Europe  | EP 1 102 208 A2*  | May 23, 2001      |
| WIPO    | WO 99/65699*      | December 23, 1999 |
| Germany | DE 195 16 741 A1  | November 7, 1996  |
| WIPO    | WO 03/009225 A2   | January 30, 2003  |
| WIPO    | WO 01/80175 A1    | October 25, 2001  |
| Europe  | EP 0 992 020 B1   | April 12, 2000    |

A separate listing of each of the references set forth above has also been provided on the attached Form PTO-1449. References cited in the International Search Report (ISR), which accompanies this submission, are indicated by an asterisk. A copy of each foreign patent reference is enclosed herewith. A corresponding English abstract of any non-English foreign patent document has also been provided to facilitate reference thereto.

Applicants respectfully submit that the requirements of candor and good faith set forth in 37 C.F.R. §1.56 have been fulfilled, having submitted all known references in compliance with 37 C.F.R. § 1.97 and § 1.98. Applicants believe that no fee is due at this time. If it is determined that a fee is due, please charge our Deposit Account No. 08-2461.

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In view of the present submission, it is believed that the above-referenced application is, in all respects, complete and in condition for examination and favorable consideration. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,

Betsy Kingsbury Dowd

Registration No.: 52,830 Attorney for Applicants

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HOFFMANN & BARON, LLP 6900 Jericho Turnpike Syosset, New York 11791-4407 (516) 822-3550 BKD:tt

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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE |      |                    |         |         | ATTY. DOCKET NO.<br>1093-145 PCT/US |                                |                |      | SERTALING 62330 Unassigned |                                       |             |                               |             |             |        |
|---|------|--------------------|---------|---------|-------------------------------------|--------------------------------|----------------|------|----------------------------|---------------------------------------|-------------|-------------------------------|-------------|-------------|--------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT                                     |      |                    |         |         |                                     | APPLICANT<br>Schilling, et al. |                |      |                            | CONFIRMATION NO. Unassigned           |             |                               |             |             |        |
| (Use several sheets if necessary)   |      |                    |         |         |                                     | FILING DATE<br>Herewith        |                |      |                            | GROUP<br>Unassigned                   |             |                               |             |             |        |
|   |      |                    | U       | .S. PA  | TENT                                | PUBLI                          | CATION         | S    |                            |                                       |             |                               |             |             |        |
| EXAMINER<br>INITIAL   |      | DOCUMEN<br>NUMBER  | 1 1 3   | DATE    |                                     | NAME                           |                | С    | LASS                       | SUB<br>CLASS                          |             | FILING DATE<br>IF APPROPRIATE |             | _           |        |
|   |      |                    |         |         |                                     |                                |                |      |                            |                                       |             |                               |             |             |        |
| EXAMINER<br>INITIAL   |      | DOCUMENT<br>NUMBER |         | DATE DO |                                     | NAME                           | CLASS          |      | SUB<br>CLASS               |                                       | FILING DATE |                               |             | •           |        |
|   | 5,   | 999,280*           |         | 12/7    | 7/99 Huang                          |                                | J              |      |                            |                                       |             |                               |             | · -         | -      |
|   | 6,   | 062,604*           |         | 5/16/00 |                                     | Taylor                         | Taylor, et al. |      |                            |                                       | ,           |                               |             |             | -      |
|   | 6,:  | 324,004 E          | 31*     | 11/2    |                                     | Staub                          | , et al.       |      |                            |                                       |             |                               |             |             |        |
|   | 6,   | 6,351,537 B1*      |         | 2/26    | 2/26/02 Dovgo                       |                                | odko, et al.   |      |                            |                                       |             |                               |             | -           |        |
|   |      |                    | •       | FOR     | EIGN                                | PATEN                          | NT DOCU        | [M]  | ENTS                       | <b></b>                               |             |                               | <del></del> |             |        |
| EXAMINER  | D    | DOCUMENT NUMBER    |         |         | DATE                                |                                | COUNTR         |      | INTRY C                    |                                       | CLASS       | SUB<br>CLASS                  | Т           | RANS        | LATION |
| DE 100 44 465 A   |      | 465 A1*            | 3/21/02 |         |                                     | Germany                        |                |      |                            |                                       | YES         |                               | NO          |             |        |
|   |      | WO 02/100653 A1*   |         |         | 12/19/02                            |                                | WIPO           |      |                            |                                       | <del></del> |                               |             |             |        |
|   | EP   | EP 0 520 363 A1*   |         | 12      | 12/30/92                            |                                | Europe         |      |                            |                                       |             |                               |             |             |        |
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|   | OTHE | R DOCU             | MENTS   | (Incli  | uding A                             | Author,                        | Title, Dat     | e, 1 | Pertinei                   | nt Pa                                 | ges, E      | etc.)                         |             | <del></del> |        |
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#### U.S. PATENT PUBLICATIONS **DOCUMENT** SUB **EXAMINER** DATE CLASS NAME FILING DATE **CLASS** INITIAL NUMBER IF APPROPRIATE **U.S. PATENT DOCUMENTS DOCUMENT** SUB **EXAMINER DATE CLASS** NAME FILING DATE **CLASS** NUMBER INITIAL IF APPROPRIATE FOREIGN PATENT DOCUMENTS SUB TRANSLATION **EXAMINER DOCUMENT NUMBER** CLASS DATE COUNTRY INITIAL **CLASS** YES NO EP 1 102 208 A2\* 5/23/01 Europe WO 99/65699\* 12/23/99 **WIPO** DE 195 16 741 A1 7/7/96 Germany WO 03/009225 A2 1/30/03 **WIPO** WO 01/80175 A1 WIPO 10/25/01 EP 0 992 020 B1 4/12/00 Europe OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

## **EXAMINER**

(Rev. 2-32)

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